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SUBSIDIARY BODY FOR IMPLEMENTATION
Tenth session
Bonn, 31 May - 11 June 1999
Item 2 (a) of the provisional agenda

PROVISIONAL AGENDA AND ANNOTATIONS

Note by the Executive Secretary

I. INTRODUCTION

1. Arrangements have been made for the tenth session of the Subsidiary Body for Implementation (SBI) to be held at the Hotel Maritim, Bonn, from 31 May to 11 June 1999. The session is scheduled to be opened by the Chairman at 4 p.m. on Monday, 31 May 1999. The first part of the opening day will be used for informal consultations (see paragraphs 11 and 12 below).

2. The deadlines set by the decisions that constitute the Buenos Aires Plan of Action (decision 1/CP.4)[1] are ambitious and will demand intensive work by the SBI at each of its three sessions before the sixth session of the Conference of the Parties (COP 6). As time will be limited at its eleventh session, which will be held in conjunction with COP 5, the SBI should aim to arrive at conclusions on as many of the issues before it as possible at its tenth session.

II. PROVISIONAL AGENDA

3. The provisional agenda for the tenth session of the SBI, proposed after consultation with the Chairman, is as follows:

[1] For the full texts of decisions adopted by the Convention of the Parties at its first, second, third and fourth sessions, see documents FCCC/CP/1995/7/Add.1, FCCC/CP/1996/15/Add.1, FCCC/CP/1997/7/Add.1 and FCCC/CP/1998/16/Add.1, respectively.

1. Opening of the session.

2. Organizational matters:

   (a) Adoption of the agenda;
   (b) Organization of the work of the session.

3. National communications from Parties included in Annex I to the Convention:

   (a) Annual inventories of national greenhouse gas data for 1996;
   (b) Reporting on technology transfer and financial assistance;
   (c) Future review process, including that under Articles 7 and 8 of the Kyoto Protocol.

4. National communications from Parties not included in Annex I to the Convention:

   (a) Provision of financial and technical support;
   (b) Preparation for review of enabling activities;
   (c) Process for considering non-Annex I Party communications;
   (d) Timing of second national communications.

5. Implementation of Article 4, paragraphs 8 and 9, of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol): programme of work.

6. Procedures and mechanisms relating to compliance under the Kyoto Protocol: organizational matters related to the joint working group.

7. Activities implemented jointly under the pilot phase.

8. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol.

9. Arrangements for intergovernmental meetings:

   (a) Improving the intergovernmental process;
   (b) Conference of the Parties at its fifth session;
   (c) Conference of the Parties at its sixth session;
   (d) Calendar of meetings.

10. Administrative and financial matters:

   (a) Programme budget for the biennium 2000-2001;
   (b) Income and budget performance in the biennium 1998-1999;

(c) Arrangements for administrative support to the Convention;
(d) Institutional linkage of the Convention secretariat to the United Nations.

11. Other matters.

12. Report on the session.

III. ANNOTATIONS TO THE PROVISIONAL AGENDA

1. Opening of the session

1. The tenth session of the SBI is scheduled to be opened by the Chairman at 4 p.m. on Monday, 31 May 1999.

2. Organizational matters

(a) Adoption of the agenda

5. The provisional agenda for the tenth session of the SBI is presented for adoption (see paragraph 3 above).

6. Items 5, 6, 7 and 8 have been included in the provisional agendas of both the SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA).

7. Following consultations with the COP Bureau, it is proposed that the items on the second review of the adequacy of Article 4.2(a) and (b), and review of information and possible decisions under Article 4.2(f), be taken up by COP 5. These two items are included in the current list of elements for the provisional agenda of COP 5 (see FCCC/SBI/1999/2, annex I). In this context, they will be placed on the provisional agenda for the eleventh session of the SBI. Meanwhile, Parties may wish to undertake informal consultations on these matters during this session in preparation for discussions at the eleventh session.

8. At its ninth session, the SBI requested the secretariat to prepare a report on the feasibility of data comparisons (FCCC/SBI/1998/7, para. 21 (e)). It is proposed that this matter be discussed first in the SBSTA as it is relevant to discussions under its related provisional agenda item 4 (b) on the review process related to greenhouse gas inventories. The secretariat has prepared a report on data comparisons (FCCC/TP/1999/2) which will serve as an input for the discussion in the SBSTA.

(b) Organization of the work of the session

(i) Arrangements on items 5, 6, 7 and 8 of the provisional agenda

9. It is proposed that consideration of item 5 of the provisional agenda be initiated in the SBI and followed by discussion in the SBSTA.

10. Items 6, 7, and 8 will be taken up initially in a joint meeting of the subsidiary bodies, scheduled for the morning of Tuesday, 1 June.

11. Informal consultations on item 6 will be arranged, as requested by decision 8/CP.4. These consultations will be held from 9.30 a.m. to 12 noon on Monday, 31 May, prior to the opening of the sessions of the subsidiary bodies.

12. The Chairmen of the subsidiary bodies will provide a briefing to Parties on the technical workshop held on the Kyoto Protocol mechanisms pursuant to decision 7/CP.4 (Bonn, 9-15 April 1999) on Monday, 31 May, from 12 noon to 1 p.m.

(ii) Intergovernmental process

13. Discussions under sub-item 9 (a) of the provisional agenda of the Subsidiary Body for Scientific and Technological Advice on improving the intergovernmental process may have implications for the delivery of inputs to the SBI.

(iii) Documentation

14. A list of documents relating to the provisional agenda, as well as other documents available at the session, is contained in annex I below.

(iv) Schedule

15. The scheduling of meetings for the session will be based on the availability of facilities during normal working hours, when services are available for two meetings of Convention bodies with interpretation from 10 a.m. to 1 p.m. and from 3 p.m. to 6 p.m. There will also be some facilities for informal meetings without interpretation. Delegations are urged to use these facilities fully by attending all meetings promptly at the scheduled time. After consultations with the Chairmen of the subsidiary bodies, an approach for the organization of work is suggested in annex II below.

3. National communications from Parties included in Annex I to the Convention

(a) Annual inventories of national greenhouse gas data for 1996

16. The SBI, at its ninth session, took note of the summary compilation of annual greenhouse gas (GHG) emissions inventory data from Parties included in Annex I to the Convention (Annex I Parties) (FCCC/CP/1998/INF.9). The SBI further recalled decision 6/CP.3 and requested the secretariat to provide a report on the information contained in national inventory submissions for the tenth session of the subsidiary bodies. The SBI also requested the secretariat to include the available projection data in that report (FCCC/SBI/1998/7, para. 21 (f)). In response to this request, the secretariat has prepared the report contained in documents FCCC/SBI/1999/5 and Add.1. The SBI may wish to consider this report, take note of the information provided, and provide further guidance on the reporting of annual inventory data.

(b) Reporting on technology transfer and financial assistance

17. By its decision 11/CP.4, the Conference of the Parties invited the SBSTA to consider the means by which the reporting requirements under the guidelines for the preparation of national communications by Annex I Parties (UNFCCC guidelines) in relation to the transfer of technology and the provision of financial resources might better identify and reflect the range of actions being taken by Annex II Parties. In this context, the COP requested the SBI to provide additional guidance with respect to information needs and reporting on technology transfer and financial assistance.

18. The SBI may wish to consider and take note of the report on clarifications, additions and amendments to the UNFCCC guidelines (FCCC/SB/1999/1 and Add.2). This report was prepared taking into consideration information from the technical workshop (Bonn, 17-19 March 1999) which was held in response to a request by the SBSTA to assess the responses received to the questionnaire sent to Parties on clarifications, additions and amendments to the UNFCCC guidelines. Responses from Parties to this questionnaire may be found in document FCCC/SB/1999/MISC.2. The SBI may wish to take these documents into account when developing guidance to the SBSTA on technology transfer and financial assistance, as requested by the COP.

(c) Future review process, including that under Articles 7 and 8 of the Kyoto Protocol

19. The secretariat will provide a progress report on the in-depth reviews of second national communications from Annex I Parties (FCCC/SBI/1999/INF.2)

20. The SBI, at its ninth session, began its discussion on how the future in-depth review process could be conducted. It further invited Parties to submit their views on the scope and modalities of the review process for the third national communications, including in-depth reviews, in the context of the Kyoto Protocol. The views received have been compiled in

document FCCC/SB/1999/MISC.2. The SBI requested the secretariat to prepare, for consideration at its tenth session, a document containing suggestions for the future review process, taking into account the views of Parties and the relevant requirements of the Kyoto Protocol (FCCC/SBI/1998/7, para. 21(d)).

21. Pursuant to the above-mentioned request, the SBI will have before it document FCCC/SBI/1999/6. It may wish to consider the suggestions on the future review process put forward in that document, taking into account the work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol contained in document FCCC/SB/1999/2 and any conclusions of the SBSTA under its related provisional agenda item 4 (c). Parties may also wish to bear in mind that the SBSTA, under its agenda item 4 (b), will consider an initial report on elements needed for the technical review of GHG inventories (FCCC/SBSTA/1999/3), and may forward relevant conclusions on this matter to the SBI.

22. The SBI, taking into account the advice of the SBSTA, may wish to provide guidance to the secretariat on the conduct and timing of reviews of inventory data submitted annually by Annex I Parties.

4. National communications from Parties not included in Annex I to the Convention

(a) Provision of financial and technical support

23. The Conference of the Parties, by its decision 10/CP.2, requested the secretariat to make available to the SBI, at each of its sessions, details of the financial support made available to Parties not included in Annex I to the Convention (non-Annex I Parties) from the Global Environment Facility (GEF), as an entity entrusted with the operation of the financial mechanism, for the preparation of their initial communications. This information is contained in document FCCC/SBI/1999/INF.1.

24. By its decisions 10/CP.2 and 12/CP.4, the Conference of the Parties also requested the secretariat, in accordance with Article 8.2 (c) of the Convention, to facilitate assistance to Parties particularly developing country Parties, in the preparation of their initial communications and to submit a report to the SBI and the SBSTA at each of their sessions. In response to this request, the progress report by the secretariat is available in document FCCC/SBI/1999/INF.3. Any methodological issues arising from the report may be referred to the SBSTA for its consideration. The secretariat will organize a special event for the informal exchange of information and experience in the development, implementation and follow-up of projects in the area of climate change. Several presentations will be made at this event, including one on activities undertaken by the National Communications Support Programme (NCSP). The NCSP, co-chaired by the secretariats of the UNFCCC and the Global Environment Facility, is jointly implemented by the United Nations Environment Programme (UNEP) and the United Nations Development Programme (UNDP). This programme aims at improving the coordination of existing assistance to developing countries in the preparation of their initial communications, and

providing, as appropriate, additional technical support.

25. The Conference of the Parties, by its decision 10/CP.2, further requested the secretariat to facilitate assistance for the preparation of initial communications through the organization of workshops at the regional level and to provide a forum for the exchange of experience in the development of emission factors and activity data for the estimation of the inventory. The secretariat will organize a special event, at which the second meeting of the forum for the exchange of experience in the development of GHG emission factors and activity data will take place.

26. The Conference of the Parties, by its decision 12/CP.4, requested the secretariat to compile and make available to Parties a list of projects submitted by non-Annex I Parties in accordance with Article 12.4 of the Convention. A report containing a list of such projects is contained in document FCCC/SBI/1999/INF.4.

27. The SBI may wish to take note of the information provided in documents FCCC/SBI/1999/INF.1, FCCC/SBI/1999/INF.3 and FCCC/SBI/1999/INF.4, and provide any further guidance that may be necessary to the secretariat on facilitating assistance to non-Annex I Parties for the preparation of their initial communications.

(b) Preparation for review of enabling activities

28. By its decision 12/CP.4, the Conference of the Parties requested the secretariat to compile and make available to the SBI a report containing views and concerns identified by non-Annex I Parties, and to ensure that such views are taken into account in the GEF review of enabling activities on climate change. Views submitted by Parties are contained in document FCCC/SBI/1999/MISC.2. The secretariat will prepare a report to the SBI at its eleventh session based on the views submitted by Parties.

29. The SBI may wish to take note of the information provided in document FCCC/SBI/1999/MISC.2 and provide guidance to the secretariat on preparation of the report on this matter.

(c) Process for considering non-Annex I Party communications

30. At its fourth session, the Conference of the Parties decided to continue to address the consideration of communications from non-Annex I Parties at its fifth session, with a view to taking a further decision on this matter (decision 12/CP.4).

31. The Conference of the Parties further requested Parties to submit their views to the secretariat on the consideration of communications from non-Annex I Parties. Submissions received will be made available to the SBI in document FCCC/SBI/1999/MISC.1.

32. The SBI may wish to take note of the views of Parties contained in the above-mentioned document and consider this matter, with a view to developing a decision for recommendation to COP 5.

(d) Timing of second national communications

33. Also by its decision 12/CP.4, the Conference of the Parties requested Parties to submit their views on the timing of second national communications of non-Annex I Parties, taking into account Article 12.5 of the Convention, for consideration by the SBI at its tenth session. Submissions received are included in document FCCC/SBI/1999/MISC.1.

34. The SBI may wish to take note of the views of Parties contained in the above-mentioned document and consider the timing of second national communications of non-Annex I Parties.

5. Implementation of Article 4, paragraphs 8 and 9, of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol): programme of work

35. The Conference of the Parties, at its fourth session, requested the SBI and the SBSTA to continue consideration of the implementation of Article 4.8 and 4.9 of the Convention at their tenth and eleventh sessions, and to report thereon to the Conference of the Parties at its fifth session (decision 5/CP.4). The COP also decided to adopt and implement the programme of work set out in the annex to that decision, including the organization of an expert workshop by September 1999, under the guidance of the Chairman of the SBSTA. It further invited Parties to submit their views on the issues to be discussed at the expert workshop, and requested the SBI and the SBSTA, on the basis of these submissions, to define the terms of reference of the expert workshop at their tenth sessions. The submissions received are contained in document FCCC/SB/1999/MISC.6.

36. The SBI may wish to initiate deliberations on this item by considering the submissions received. It may then wish to communicate to the SBSTA, for its consideration, any views on the terms of reference of the expert workshop.

6. Procedures and mechanisms related to compliance under the Kyoto Protocol: organizational matters related to the joint working group

37. The Conference of the Parties, at its fourth session, established a joint working group, under the SBI and the SBSTA, to develop procedures and mechanisms relating to compliance under the Kyoto Protocol (decision 8/CP.4). The group will be convened by a joint meeting of the SBI and SBSTA. The first meeting of the group will be held on Wednesday, 2 June from 3 p.m. to 6 p.m. The Chairmen of the SBI and SBSTA are consulting on a possible chairperson or chairpersons for the joint working group.

38. The Conference of the Parties also requested the secretariat to facilitate a consultation on

this matter immediately prior to the tenth sessions of the subsidiary bodies. This consultation will be held at the Hotel Maritim on Monday, 31 May, from 9.30 a.m. to 12 noon.

39. The joint working group may wish to decide its agenda at its first meeting, based on the mandate contained in decision 8/CP.4, and be ready to conduct substantive discussions on this matter. The group may also wish to consider its work programme, bearing in mind that it will need to report, through the subsidiary bodies, on progress made to COP 5, which will establish, if necessary, an ad hoc working group. The joint working group will have before it a compilation of views submitted by Parties on matters relating to compliance under the Kyoto Protocol (FCCC/SB/1999/MISC.4).

7. Activities implemented jointly under the pilot phase

40. The Conference of the Parties, at its fourth session, requested the subsidiary bodies to address, at their tenth sessions, the review process of the pilot phase of activities implemented jointly (AIJ), with a view to the COP taking a conclusive decision on the pilot phase, and the progression beyond that, no later than the end of the present decade (decision 6/CP.4). Parties may consider taking such a decision at the fifth session of the Conference of the Parties.

41. To facilitate this review process, Parties were invited to submit their views on the process and information on the experience gained and lessons learned with AIJ under the pilot phase. Further, Parties were again invited to provide inputs on their experience in using the uniform reporting format. The submissions received on these issues are contained in documents FCCC/SB/1999/MISC.1 and Add. 1.

42. Parties will also have before them an update on AIJ under the pilot phase, including a list of reported activities (FCCC/SB/1999/INF.1). Parties may wish to take note of this information, and provide additional guidance to the secretariat for its work on this issue, as necessary.

8. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol

43. By its decision 7/CP.4, the Conference of the Parties agreed on a work programme on the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol, including a list of elements annexed to that decision.

44. In the same decision, the Conference of the Parties identified steps to be taken in fulfilling this work programme in the period leading up to, and at, the tenth sessions of the subsidiary bodies, notably the convening of two technical workshops before 15 April 1999 (which were merged into one workshop and held in Bonn, 9-15 April 1999) and consideration of the following documents:

(a) A compilation of further proposals by Parties on principles, modalities, rules and guidelines for the mechanisms (FCCC/SB/1999/MISC.3). Any additional submissions received

will be issued as addenda;

(b) A plan for facilitating capacity-building on the mechanisms under decision 7/CP.4 (FCCC/SB/1999/4); and

(c) A note by the Chairmen of the subsidiary bodies containing a synthesis of proposals by Parties on matters addressed in decision 7/CP.4, paragraph 1 (FCCC/SB/1999/5).

The documents listed under (b) and (c) will reflect the proceedings of the technical workshop.

45. As noted in paragraph 12 above, the Chairmen of the subsidiary bodies will provide a briefing to Parties on the technical workshop held on the Kyoto Protocol mechanisms pursuant to decision 7/CP.4 (Bonn, 9-15 April 1999) on Monday, 31 May, from 12 noon to 1 p.m.

46. Parties may wish to take note of the proposals contained, and information provided, in the above-mentioned documents, and give further guidance to the Chairmen of the subsidiary bodies and the secretariat on modalities for advancing the work programme under decision 7/CP.4 in support of the intergovernmental process leading up to COP 6.

9. Arrangements for intergovernmental meetings

(a) Improving the intergovernmental process

47. The Convention process continues to evolve and the needs of the Parties to change in consequence. The process must respond to both technical and political demands. COP 4 may be judged to have marked a turning point to a more technical phase of work by the Parties and their secretariat. COP 6 will again bring the political aspects of the process to the fore. In an effort to provoke reflection on ways of making the process more efficient and effective in both respects, the secretariat has raised a number of questions with the Bureau and put forward some suggestions thereon in document FCCC/SBI/1999/2 (section II). The topics flagged for consideration and advice by the SBI are:

- the growing scale and costs of COP sessions and the implications for host Parties;
- the need to ensure adequate technical inputs from government experts, the secretariat and its partners, and the use of technical workshops as a device to this end;
- the volume and timing of documentation, and the costs of translating it;
- the transparency of consultative processes used to obtain final outcomes of COP sessions;
- possible technical improvements in the process of drafting such outcomes.

(f) Conference of the Parties at its fifth session

48. The fifth session of the Conference of the Parties will be held at Bonn, Germany, from 25 October to 5 November 1999, in conjunction with the eleventh sessions of the

subsidiary bodies. The SBI will have before it document FCCC/SBI/1999/2, containing information on arrangements for the Conference being made by the secretariat, after consultations with the Bureau. The document will include a list of possible elements for a provisional agenda for the session. It will also contain options and suggestions concerning the organization of the session, including for the scheduling of the subsidiary bodies and the Conference, the possible establishment of a Committee of the Whole, and the need for, and organization of, any high-level segment to be attended by ministers and other heads of delegations, when such a segment might best be convened, and the nature of any ministerial involvement. The SBI may wish to take note of the information provided by the secretariat, consider the options and suggestions presented, and provide guidance on those matters.

(c) Conference of the Parties at its sixth session

49. In order to facilitate preparations for COP 6, an early decision on the venue of the Conference would be desirable. Parties interested in hosting the session are therefore encouraged to present their offers in time for consideration by the SBI at its tenth session. The SBI, after considering any such offers, may wish to recommend a decision on the date and host country for COP 6, for adoption by COP 5, and also to request the secretariat to start planning for COP 6 with the designated host country and enter into the necessary agreement.

(d) Calendar of meetings

50. The Conference of the Parties, at its fourth session, adopted decision 19/CP.4 entitled "Calendar of meetings of Convention bodies 2000-2001" on the understanding that the secretariat would attempt to identify suitable dates for the second sessional period in the year 2000 taking into account the availability of facilities and conference services. The SBI may wish to consider this sub-item in conjunction with sub-item 9 (c) on the Conference of the Parties at its sixth session.

10. Administrative and financial matters

(a) Programme budget for the biennium 2000-2001

51. In accordance with the financial procedures of the Convention (decision 15/CP.1), the COP, at its fourth session, requested the Executive Secretary to submit a proposed programme budget for the biennium 2000-2001 for consideration by the SBI at its tenth session (decision 17/CP.4). It was specified that this proposal should include a contingency for conference services, should the General Assembly decide at its fifty-fourth session not to include the Convention's needs in its own regular budget for the coming biennium. In response to this request, the Executive Secretary proposes the programme budget contained in document FCCC/SBI/1999/4. The proposed budget is based on the programme of work contained in document FCCC/SBI/1999/4/Add.1, which includes information on the activities the secretariat expects to undertake in the coming biennium, the results that should be achieved and the

estimated financial and human resources required to do so. As requested by the COP, the SBI, at its tenth session, is invited to:

(a) Review the proposed budget and recommend a budget decision to the COP for adoption at its fifth session;

(b) Include in this decision, in keeping with previous practice, a total budget with allocations by programme, a secretariat-wide staffing table, broken down by level, a provision enabling the Executive Secretary to transfer resources among programmes within limits, and contingencies as required (e.g. for conference services);

(c) Authorize the Executive Secretary to notify the Parties, by 1 October 1999, of their indicative contributions due to the core budget for 2000 on the basis of the recommended budget decision; and

(d) Recommend that the COP take note of funding requirements outside of the core programme budget for the coming biennium.

(b) Income and budget performance in the biennium 1998-1999

52. The Conference of the Parties, at its fourth session, took note of the initial report on financial performance in 1998, and requested the Executive Secretary to present options to the SBI at its tenth session on how to deal with the issue of the significant carry-over under the core budget from one biennium to the next, arising *inter alia* from late payment of contributions (decision 17/CP.4). A response to this request is contained in document FCCC/SBI/1999/3, which also includes an update on income and expenditures in the current biennium. The SBI may wish to take note of the information provided by the Executive Secretary, and make a recommendation to COP 5, as appropriate, as to how to deal with this issue. This recommendation may be included as part of an omnibus decision covering all issues related to administrative and financial matters apart from the programme budget for the coming biennium.

53. Information on the status of contributions by Parties to the core budget and the other trust funds administered by the secretariat is contained in document FCCC/SBI/1999/INF.5.

(c) Arrangements for administrative support to the Convention

54. At its fourth session, the Conference of the Parties took note of the developments reported by the Executive Secretary in his discussions with the United Nations regarding administrative arrangements for the Convention, and endorsed the efforts being undertaken to achieve a more rational and efficient approach thereto. The COP further requested the Executive Secretary to report to the SBI at its tenth session on the progress made in implementing the new administrative arrangements (decision 17/CP.4). An initial response to this request is contained in document FCCC/SBI/1999/3. The Executive Secretary may also make an oral report on this

matter. The SBI may wish to take note of the information provided, and request the Executive Secretary to continue his efforts to improve the administrative arrangements for the Convention.

(d) Institutional linkage of the Convention secretariat to the United Nations

55. At its first session, the Conference of the Parties decided to review the functioning of the institutional linkage of the Convention secretariat to the United Nations not later than 31 December 1999, in consultation with the Secretary-General of the United Nations, with a view to making such modifications as may be considered desirable by both parties (decision 14/CP.1). The United Nations General Assembly, by its resolution 50/115 of 20 December 1995, endorsed this institutional linkage and requested the Secretary-General to review the arrangements not later than 31 December 1999 in consultation with the COP, with a view to making such modifications as may be considered desirable by both parties, and to report thereon to the General Assembly.

56. The SBI will have before it document FCCC/SBI/1999/7 containing information on the implementation of the arrangements for institutional linkage with the United Nations. Bearing in mind that the General Assembly will review the institutional linkage at its fifty-fourth session, the SBI should seek to complete its review at this session and make a recommendation to COP 5 on the institutional linkage that may be taken into account by the General Assembly. It would be desirable for such a decision to be adopted by the COP at the start of its fifth session.

11. Other matters

57. In accordance with its Article 24, the Kyoto Protocol to the UNFCCC was open for signature at United Nations Headquarters in New York from 16 March 1998 to 15 March 1999. Eighty-four Parties signed the Protocol during the specified period. As of 15 March 1999, the Kyoto Protocol had received seven instruments of ratification, all from non-Annex I Parties. Updated information on ratification of the Kyoto Protocol will be available at the session.

58. The SBI may wish to express its appreciation to those Parties that have ratified the Kyoto Protocol, and invite those other Parties that have signed the Protocol to inform the secretariat of the expected timing of their ratification. The SBI may further wish to invite Parties to the Convention that have not yet signed the Protocol to accede to it, following their respective national processes.

12. Report on the session

59. Because of the time that is likely to be needed for considering all items on the agenda, a complete draft text of the report may not be available at the end of the session. The SBI may wish to adopt decisions or substantive conclusions, and authorize the Rapporteur to complete the report after the session with the guidance of the Chairman and the assistance of the secretariat. Subject to the availability of sufficient time for their translation, conclusions will be made available in all languages.

Annex I

DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR IMPLEMENTATION AT ITS TENTH SESSION

Documents prepared for the session

FCCC/SBI/1999/1	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBI/1999/2	Arrangements for intergovernmental meetings. Note by the Executive Secretary
FCCC/SBI/1999/3	Administrative and financial matters. Note by the Executive Secretary
FCCC/SBI/1999/4	Proposed programme budget of the Convention for the biennium 2000-2001. Note by the Executive Secretary
FCCC/SBI/1999/4/Add.1	Proposed programme budget of the Convention for the biennium 2000-2001. Addendum. Detailed subprogramme activities and resource requirements. Note by the Executive Secretary
FCCC/SBI/1999/5	Annual inventories of national greenhouse gas data for 1996. Report on national greenhouse gas emissions inventory submissions from Annex I Parties for 1990 to1996
FCCC/SBI/1999/5/Add.1	Annual inventories of national greenhouse gas data for 1996. Report on national greenhouse gas emissions inventory submissions from Annex I Parties for 1990 to 1996. Addendum. Tables of inventories of anthropogenic emissions and removals of greenhouse gases for 1990 to1996 and projections
FCCC/SBI/1999/6	Future review process, including that under Articles 7 and 8 of the Kyoto Protocol
FCCC/SBI/1999/7	Administrative and financial matters. Institutional linkage of the Convention secretariat to the United Nations. Note by the Executive Secretary

FCCC/SBI/1999/INF.1	Information on relevant actions by the Global Environment Facility
FCCC/SBI/1999/INF.2	Progress report on the in-depth reviews of second national communications from Parties included in Annex I to the Convention
FCCC/SBI/1999/INF.3	Report on activities to facilitate the provision of financial and technical support and information on communications from Parties not included in Annex I to the Convention
FCCC/SBI/1999/INF.4	List of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention
FCCC/SBI/1999/INF.5	Administrative and financial matters. Status report on receipt of contributions from Parties
FCCC/SBI/1999/MISC.1	Views of Parties on consideration and timing of second national communications from Parties not included in Annex I to the Convention
FCCC/SBI/1999/MISC.2	Views of Parties with regard to the review of the Global Environment Facility enabling activities
FCCC/SB/1999/1	Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part I of the reporting guidelines on inventories)
FCCC/SB/1999/1/Add.1	Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part I of the reporting guidelines on inventories). Addendum. Common reporting format

FCCC/SB/1999/1/Add.2	Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part II of the reporting guidelines on other issues). Addendum. Policies and measures and projections, financial resources and transfer of technology, and other issues
FCCC/SB/1999/2 and Corr. 1	Work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol
FCCC/SB/1999/4	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Plan for facilitating capacity-building under decision 7/CP.4
FCCC/SB/1999/5	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Synthesis of proposals by Parties on principles, modalities and guidelines for the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol. Note by the Chairmen
FCCC/SB/1999/INF.1	Activities implemented jointly under the pilot phase. Update on activities implemented jointly
FCCC/SB/1999/MISC.1 and Add.1	Views on the review process of activities implemented jointly under the pilot phase and information on experience gained and lessons learned, including on the uniform reporting format. Compilation of submissions from Parties
FCCC/SB/1999/MISC.2	Clarifications, additions and/or amendments to the revised guidelines for the preparation of national communications from Parties included in Annex I to the Convention, the scope of the third national communications, and the scope and modalities of the review process for the third national communications, including in-depth reviews, in the context of the Kyoto Protocol. Submissions from Parties
FCCC/SB/1999/MISC.3	Principles, modalities, rules and guidelines for the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol. Submissions from Parties
FCCC/SB/1999/MISC. 4	Procedures and mechanisms relating to compliance under the Kyoto Protocol. Submissions from Parties

FCCC/SB/1999/MISC.5	Comments from Parties on methodological issues related to possible clarifications, additions and amendments to the inventory section of the revised guidelines for the preparation of national communications by Annex I Parties
FCCC/SB/1999/MISC.6	Views on issues to be discussed in the expert workshop on the implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Submissions from Parties

Other documents for the session

FCCC/CP/1998/16	Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to14 November 1998. Part One: Proceedings
FCCC/CP/1998/16/Add.1	Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to 14 November 1998. Addendum. Part Two: Action taken by the Conference of the Parties at its fourth session
FCCC/TP/1999/2	Technical paper: Report on data comparisons

Documents available for reference only

FCCC/CP/1998/INF.9	Review of information communicated under Article 12. National communications from Parties included in Annex I to the Convention. Summary compilation of annual greenhouse gas emissions inventory data from Annex I Parties
FCCC/CP/1997/7/Add.1	Report of the Conference of the Parties on its third session, held at Kyoto from 1 to 11 December 1997. Addendum. Part Two: Action taken by the Conference of the Parties at its third session
FCCC/CP/1996/2	Adoption of the rules of procedure
FCCC/CP/1996/15/Add.1	Report of the Conference of the Parties on its second session, held at Geneva from 8 to 19 July 1996. Addendum. Part Two: Action taken by the Conference of the Parties at its second session

FCCC/CP/1995/7/Add.1	Report of the Conference of the Parties on its first session, held at Berlin from 28 March to 7 April 1995. Addendum. Part Two: Action taken by the Conference of the Parties at its first session
FCCC/SBI/1998/6/and Corr.1	Report of the Subsidiary Body for Implementation on its eighth session, Bonn, 2-12 June 1998
FCCC/SBI/1998/7	Report of the Subsidiary Body for Implementation on its ninth session, Buenos Aires, 3-10 November 1998

A number of in-depth review reports will be available for reference only

Annex II

PROPOSED SCHEDULE OF WORK FOR THE TENTH SESSIONS OF THE SUBSIDIARY BODIES

	Monday 31 May	**Tuesday 1 June**	**Wednesday 2 June**	**Thursday 3 June**	**Friday 4 June**
10 a.m. to 1 p.m.	*Consultations on compliance (9:30 a.m. - 12 a.m.)* *Briefing by the Chairmen on the technical workshop on the Kyoto Protocol mechanisms (12 a.m. -1 p.m.)*	[JOINT MEETING] SBSTA items 10, 11 and 12 SBI items 6, 7 and 8	SBSTA item 7 SBI items 3, 10, and 11 (*continued, as necessary*)	*Informals*	SBSTA item 9 *Informals*
3 p.m. to 6 p.m.	SBSTA items 1, 2, 3, 6 and 8 SBI items 1, 2, 4 and 5 *(4 p.m-6 p.m)*	SBSTA items 4 and 5 SBI items 4 and 6 (*continued, as necessary*) and 9, 10, and 11	Joint working group on compliance *Informals*	*Informals*	Joint working group on compliance *Informals*

Note: - - - indicates parallel meetings

(continued)

	Monday 7 June	Tuesday 8 June	Wednesday 9 June	Thursday 10 June	Friday 11 June
10 a.m. to 1 p.m.	SBSTA items 3, 4 (b), 5, 6 and 8 --- *Informals*	*Informals*	[JOINT MEETING] SBSTA items 10, 11 and 12 SBI items 6, 7 and 8 SBSTA items 4 (a), 7 and 9* --- SBI items 3, 4, 5, 9, 10, and 11*	Joint working group on compliance --- *Informals*	[JOINT MEETING] SBSTA items 10, 11 and 12 SBI items 6, 7 and 8
3 p.m. to 6 p.m.	*Informals*	Joint working group on compliance --- *Informals*	*Informals*	*Informals*	SBSTA item 13 --- SBI item 12

Note: --- indicates parallel meetings

* The SBI and the SBSTA will take up these items under their respective agendas after the joint meeting, if there is time.

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